



Corrections and Community Supervision

DOCCS FACT SHEET

February 1, 2021

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 52 facilities that house 33,376 inmates and 34,799 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide**	759	799	972	1,033	1,047	92
Assaults on Inmates Statewide**	1,135	1,224	1,165	1,265	1,204	79

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2015-2020							
		2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide		759	799	972	1033	1047	92
Maximum-Security*		552	567	722	769	772	69
Medium-Security		198	214	237	249	262	22

Excludes Willard, Edgecombe and Parole Diversion Programs.

*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2020 4 th Quarter Assaults				
	Oct	Nov	Dec	Total
Assault on Inmate	79	104	92	275
Assault on Staff	85	93	108	286
Total	164	197	200	561
Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	November	December	January	Total
No Injury	382	365	296	1,043
Minor*	9	16	3	28
Moderate**	0	1	0	1
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	391	382	299	1,072
Incident Type: Staff Injured during an Inmate on Staff Assault				
	November	December	January	Total
No Injury	380	430	365	1,175
Minor*	118	133	99	350
Moderate**	5	4	9	18
Serious***	1	2	4	7
Severe****	0	0	0	0
Total	504	569	477	1,550

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 54.1 percent, from a high of 72,649 incarcerated individuals (includes Willard DTC) to 33,376 (2/1/21).
- Since 2011, the State has eliminated more than 6,650 prison beds and closed a total of 17 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$193 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3

2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
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Staffing and Population Differences				
	12/31/99	2/1/21	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	18,491	3,621	-16.4%
Inmates (includes Willard)	72,649	33,376	39,273	-54.1%

DOCCS Correctional Facility Security Personnel														
Council 82/NYSCOPBA Staff														
	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Council 82														
Lieutenants	541	535	526	521	458	483	482	472	475	471	480	478	470	439
NYSCOPBA														
Sergeants	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,096
Officers	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	16,956
Subtotal	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,052
Total	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,491

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities									
February 1st of Selected Years									
	2011			2016			2021		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	19,053	24,135	78.9%	17,999	22,243	80.9%	14,081	16,227	86.8%
Medium Facilities	14,987	27,586	54.3%	14,283	25,712	55.5%	9,646	15,029	64.2%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants				
Effective Beginning of Business				
Inmate Status	11/1/2020	12/1/2020	1/1/2021	2/1/2021
SHU Disciplinary Sanction	1,152	1,173	1,073	923
KEEPLOCK Disciplinary Sanction	275	287	276	272
Administrative Segregation	11	13	9	16
Involuntary Protective Custody	27	33	52	49
Pending Disciplinary Hearing	272	295	228	251
Pending Movement From Unit	102	69	106	111

Pending Investigation	0	1	2	5
Special Watch	8	9	41	5
Voluntary Protective Custody	14	27	21	19
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	1,861	1,907	1,808	1,651

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2016	2017	2018	2019	2020	2021
Violent Offenders	32,908	32,236	31,093	29,623	25,193	24,718

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2012 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,029	20
Bureau II	856	20
Bureau III	773	12
Bureau IV	722	17
Bureau V	818	19
Brooklyn Region		
Bureau I	1,043	14
Bureau II	755	13
Bureau III	1,129	21
Bureau IV	1,041	21
Central New York		
Northeast	993	26
Syracuse Belt	1,043	16
Syracuse	1,019	27

Utica	1,139	36

Hudson Valley Region		
New Rochelle	869	18
Peekskill	864	21
Albany	1,097	20
Schenectady	976	23
Poughkeepsie	937	22
Manhattan/Staten Island		
Manhattan Bureau II	613	17
Manhattan Bureau III	1,072	14
Manhattan Bureau IV	1,023	14
Manhattan Bureau VI	703	24
Staten Island	606	11
Queens/Long Island		
Queens Bureau I	725	12
Queens Bureau II	1,205	21
Queens Bureau III	736	16
Long Island SOU	726	17
Suffolk	993	17
Nassau	742	15
Western Region		
Buffalo Metro	1,186	24
Niagara Frontier	1,348	28
Elmira	1,202	25
Rochester Metro	1,133	18
Rochester Belt	660	18
Rochester SOU	873	26

*Average case load size cannot be determined using this data